

10/507431

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Henrik STAMM-KRISTENSEN
ET AL.

Appln. No.: To Be Assigned

Filed: September 10, 2004

For: FOOD ADDITIVE

Attorney Docket No: 12671/3

Examiner: To Be Assigned

Art Unit: TBA

#2

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
CN1238138A	15 DEC 1999	Zhao
ZA9202101A	23 FEB 1994	Winer
EP0649599A1	26 APR 1995	Sanofi, SA

Name	Date of Publication
International Search Report from EPO	7 APR 2003
English language abstract of CN1238138A	15 DEC 1999

For the Examiner's convenience, Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Pursuant to MPEP § 609, Applicants are also submitting a copy of the PCT International Preliminary Examination Report and the International Search Report prepared in the corresponding PCT application (PCT/ES02/00622) to satisfy the requirement of 37 C.F.R. §1.98(a)(3)(i) of providing a concise statement of relevance regarding Chinese patent CN1238138, which is not available in the English language. A printed copy of an English language abstract for CN1238138 is also enclosed.

Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

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By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

9/10/2004
Date

Trevor K. Copeland
Trevor K. Copeland (Reg. No.50,292)

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FORM PTO-1449	SERIAL NO. To Be Assigned	CASE NO. 12671/3
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 10 SEPT 2004	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)	APPLICANT(S): STAMM-KRISTENSEN et al	

REFERENCE DESIGNATION	U.S. PATENT DOCUMENTS
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[illegible]

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A1	CN1238138A	15 DEC 1999	Zhao		No
	A2	ZA9202101A	23 FEB 1994	Winer		No
	A3	EP0649599A1	26 APR 1995	Sanofi, SA		No

EXAMINER	OTHER ART – NON PATENT LITERATURE DOCUMENTS
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(include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

	A4	International Search Report from EPO; 7 APR 2003
	A5	English language abstract of CN1238138A; 15 DEC 1999

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.